



0900 10-01-81

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PTO/SB/21 (12/97)  
Approved for use through 9/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

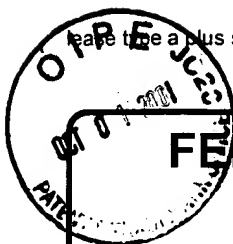
|   |    |                        |               |
|---|----|------------------------|---------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) |    | Application No.        | 09/898,896    |
|   |    | Filing Date            | July 3, 2001  |
|   |    | First Named Inventor   | Anthony Haber |
|   |    | Group Art Unit         |               |
|   |    | Examiner Name          |               |
| Total Number of Pages in This Submission  | 16 | Attorney Docket Number | 4606P004      |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input checked="" type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><br><input type="checkbox"/> To Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><ul style="list-style-type: none"><li>- Transmittal for Formal Drawings</li><li>- Return Postcard</li></ul></div> |
| Remarks   |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Michael A. Proksch, Reg. No. 43,021<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature                                  |   |
| Date                                       | September 19, 2001  |

| CERTIFICATE OF MAILING/TRANSMISSION  |                 |      |                    |
|--|-----------------|------|--------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:<br>September 19, 2001 |                 |      |                    |
| Typed or printed name  | Derek S. Watson |      |                    |
| Signature  |                 | Date | September 19, 2001 |

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PTO/SB/17 (12/99)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

## Complete if Known

Application No. 097898,896  
Filing Date July 3, 2001  
First Named Inventor Anthony Haber  
Examiner Name  
Group/Art Unit  
Attorney Docket Number 4606P004

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

- ☐ Applicant claims small entity status. See 37 CFR 1.27.

## 2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |                        |          |
| 101          | 710      | 201          | 355      | Utility filing fee     |          |
| 106          | 320      | 206          | 160      | Design filing fee      |          |
| 107          | 490      | 207          | 245      | Plant filing fee       |          |
| 108          | 710      | 208          | 355      | Reissue filing fee     |          |
| 114          | 150      | 214          | 75       | Provisional filing fee |          |

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES

| Large Entity |          | Small Entity |          | Extra Claims | Fee from below | Fee Paid |
|--------------|----------|--------------|----------|--------------|----------------|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |              |                |          |
|              |          |              |          |              |                |          |
|              |          |              |          |              |                |          |
|              |          |              |          |              |                |          |

\*\*or number previously paid, if greater. For Reissues, see below

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |   |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |          |
| 102          | 80       | 202          | 40       | Independent claims in excess of 3                         |          |
| 104          | 260      | 204          | 135      | Multiple Dependent claim, if not paid                     |          |
| 109          | 80       | 209          | 40       | **Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$ )

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139          | 130      | 139          | 130      | Non-English specification  |          |
| 147          | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for response within first month                                  |          |
| 116          | 390      | 216          | 195      | Extension for response within second month                                 |          |
| 117          | 890      | 217          | 445      | Extension for response within third month                                  |          |
| 118          | 1,390    | 218          | 695      | Extension for response within fourth month                                 |          |
| 128          | 1,890    | 228          | 945      | Extension for response within fifth month                                  |          |
| 119          | 310      | 219          | 155      | Notice of Appeal   |          |
| 120          | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |          |
| 121          | 270      | 221          | 135      | Request for oral hearing   |          |
| 138          | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141          | 1,240    | 241          | 620      | Petition to revive - unintentional   |          |
| 142          | 1,240    | 242          | 620      | Utility issue fee (or reissue)   |          |
| 143          | 440      | 243          | 220      | Design issue fee   |          |
| 144          | 600      | 244          | 300      | Plant issue fee  |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123          | 130      | 123          | 130      | Petitions related to provisional applications                              |          |
| 126          | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146          | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149          | 710      | 249          | 355      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179          | 710      | 279          | 355      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Michael A. Proksch Registration No. 43,021 Telephone (503) 684-6200  
Signature [Signature] Date 09/19/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ANTHONY HABER, ET AL.

Application No.: 09/898,896

Filed: July 03, 2001

For: **A SYSTEM AND RELATED METHODS  
TO FACILITATE DYNAMICALLY  
COLLABORATIVE COMMERCE OVER A  
DATA NETWORK**

Art Group:

Examiner:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF FORMAL DRAWINGS**

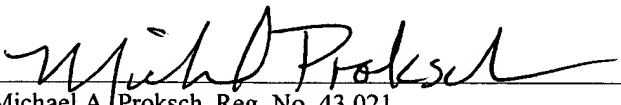
Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 11 sheets including 15 Figures. Applicants hereby authorize any additional extension or petition fees under 37 C.F.R. §1.17 or credit for any overpayment to our Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal sheet is enclosed.

Respectfully submitted,


Blakely, Sokoloff, Taylor & Zafman LLP

Dated: September 19, 2001

  
Michael A. Proksch, Reg. No. 43,021

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: September 19, 2001.

  
Derek S. Watson

09/19/01  
Date